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PTO/SB/21 (6-98)
Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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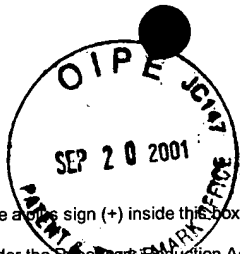
TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/922,066	
	Filing Date	August 3, 2001	
	First Named Inventor	Thierry Godel et al.	
	Group Art Unit	1614	
	Examiner Name		
Total Number of Pages in This Submission	4	Confirmation Number	8721

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Additional Enclosure(s) (please identify below): <div></div>
Remarks <div></div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Arthur D. Dawson
Signature	<i>Arthur D. Dawson</i>
Date	September 18, 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail on the date shown below in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231:			
Typed or printed name	Arthur D. Dawson		
Signature	<i>Arthur D. Dawson</i>	Date	September 18, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	

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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application

Thierry Godel et al.

Group: 1614

Serial No. 09/922,066, filed August 3, 2001

For: SUBSTITUTED 4-PHENYL-PYRIDINE COMPOUNDS WITH ACTIVITY
AS ANTAGONISTS OF NEUROKININ 1 RECEPTORS

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Nutley, New Jersey 07110
September 18, 2001

Commissioner for Patents
BOX MISSING PART
Washington, D.C. 20231

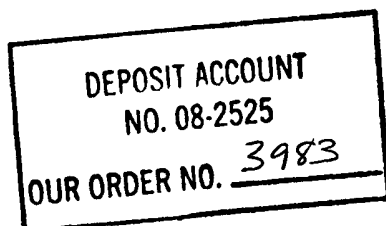
Sir:

In response to the Notice to file Missing Parts of Application, applicants enclose an original Declaration executed by Thierry Godel, Torsten Hoffmann, Patrick Schnider and Heinz Stadler, co-inventors of the captioned application. The submission of the executed Declaration completes the formal requirements for filing the captioned application. Please make the foregoing of record.

The payment of a surcharge of \$130.00 is to be charged to Deposit Account No. 08-2525. Any underpayment or overpayment should be charged or credited to Deposit Account No. 08-2525.

Respectfully submitted,

Agent for Applicant(s)
Arthur D. Dawson
(Reg. No. 35,113)
340 Kingsland Street
Nutley, New Jersey 07110
Telephone: (973) 235-6208
Telefax: (973) 235-2363



Enclosure
113594



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/922,066	08/03/2001	Thierry Godel	20706

00151
HOFFMANN-LA ROCHE INC.
PATENT LAW DEPARTMENT
340 KINGSLAND STREET
NUTLEY, NJ 07110

CONFIRMATION NO. 8721

FORMALITIES LETTER



OC000000006539624

Date Mailed: 09/10/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

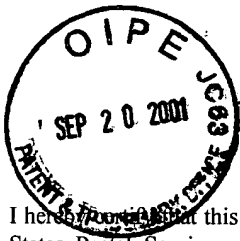
*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/21/2001 WABRHAM1 00000012 082525 09922066

01 FC:105 130.00 CH



Receipt #5

CERTIFICATE OF MAILING (37 CFR 1.8a)

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Arthur D. Dawson (Reg.No. 35,113)

(Print Name)

Arthur D. Dawson

(Signature)

Date: September 18, 2001

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application

Thierry Godel et al.

Group: 1614

Serial No. 09/922,066, filed August 3, 2001

For: SUBSTITUTED 4-PHEYNL-PYRIDINE COMPOUNDS WITH ACTIVITY
AS ANTAGONISTS OF NEUROKININ 1 RECEPTORS

REQUEST FOR CORRECTED FILING RECEIPT

Nutley, New Jersey 07110

September 18, 2001

Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

Sir:

Applicants respectfully request a corrected filing receipt for the captioned case correcting an error in the Title.

Applicants have just received the filing receipt in Serial No. 09/922,066. In reviewing the filing receipt, it was noted that the word "NEUROKININ" appears in the title as two words. The correct Title should read:

Serial No. 09/922,066
Filed: August 3, 2001

SUBSTITUTED 4-PHENYL-PYRIDINE COMPOUNDS WITH
ACTIVITY AS ANTAGONISTS OF NEUROKININ 1 RECEPTORS.

It is, therefore, respectfully requested that a new filing receipt be issued correcting this error.

Respectfully submitted,



Agent for Applicant(s)
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Nutley, New Jersey 07110
Telephone: (973) 235-6208
Telefax: (973) 235-2363

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